

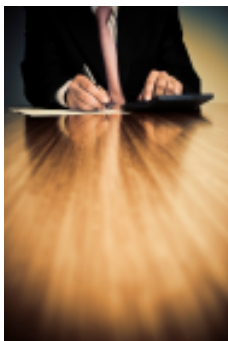


Compliance Hot Topics Seminar

May 6, 2010 – Best Western Doublewood Inn, Bismarck ND

Lending Compliance Hot Topics:

- Problems, confusions and escrow issues with implementing the new RESPA
- Continuing effects of the high-priced mortgage process
- Risk-based pricing notices
- Higher Education loan disclosures
- Where are financial institutions headed with CRA & HMDA?
- The “recovery” and fair lending issues
- S.A.F.E. progress



Who Should Attend

This seminar is designed for compliance officers, BSA officers, and internal auditors.

Schedule

8:30-9:00 a.m. Registration & Continental Breakfast
 9:00 a.m.-Noon **Program**
 12:00-1:00 p.m. Lunch
 1:00-4:00 p.m. **Program**

Location

Best Western Doublewood Inn
 1400 E Interchange Ave
 Bismarck ND 58501
 Phone: 701.258.7000

Registration Fees

Early registration will save you money. Fees listed are per person.

	<u>Advance</u>	<u>After 4/8</u>
NDBA Member	\$215	\$235
Nonmember	\$395	\$425

Deposit/Operations Compliance Hot Topics:

- Implementing internet gambling procedures
- Overdraft provisions/requirements under revised Regulation E
- Privacy notice revisions
- What’s left with Regulation CC?
- FACTA, where everyone’s gone wrong
- Don’t forget about DD
- Update on BSA/AML

NDBA Registration Form

Compliance Hot Topics Seminar • May 6, 2010

Registration Information

Register early and save...

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Mail this form with payment to:

North Dakota Bankers Association

Attn: Registration
 PO Box 1438
 Bismarck ND 58502

or fax to 701.258.0218

or register online at www.ndba.com

Questions? Call NDBA's SVP of Education Dorothy Lick at 701.223.5303.

Bank: _____

Address: _____

City/State/Zip: _____

Phone: _____

Registrants (first & last name)

1. _____

Email: _____

Branch street location: _____

2. _____

Email: _____

Branch street location: _____

3. _____

Email: _____

Branch street location: _____

Total Due:

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About the Speaker



Chuck Lewis, who began his banking career over 30 years ago, was recently director of risk management with RSM McGladrey. He was also formerly president of UMB Consulting Services, Inc., a subsidiary of UMB Financial Corporation. For over 20 years, he was director of regulatory services for UMB Financial Corporation in Kansas City. In the winter of 2010, Chuck became vice president in the compliance services division of the Missouri Bankers Association.

A Certified Regulatory Compliance Manager, Chuck frequently speaks at programs sponsored by the American Bankers Association and numerous state banking associations. In 2004, he received the Distinguished Service Award at the ABA's Regulatory Compliance Conference, which is presented to recognize outstanding leadership, initiative, and accomplishment in banking's regulatory compliance management profession. He is a past member of the ABA's Executive Compliance Committee and Missouri Bankers Association's Compliance Task Force Committee, and a current faculty member for the Barret School of Banking (Memphis), the Schools of Banking in Iowa, the Missouri School of Banking, the compliance school for the Oklahoma Bankers Association and the Graduate School of Banking in Wisconsin.

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For reservations, call the hotel directly and mention NDBA. A block of rooms with a rate of \$70 will be held until April 7.

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Tax Disclaimer

The cost for all meals and breaks at this seminar is \$23. This information is provided for your tax records, in keeping with the IRS 50% deductible provision under Section 274(n) of the Internal Revenue Code.

Refund Policy

Refund less \$20 will be made if requested one week prior to seminar date. No refunds after that date. Substitutions allowed any time.



Questions? Call NDBA at 701.223.5303.

For information on all upcoming events, visit www.ndba.com and click on Calendar of Events.