

# Business Continuity Planning

## How to Address Regulatory Expectations

Webinar • July 21, 2009, 1:30-3:30 CT



NORTH DAKOTA  
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The regulators are serious when it comes to you having a comprehensive plan. This webinar addresses the key elements of an effective BCP and an effective pandemic plan.

A Business Continuity Plan (BCP) is one of those "must have" plans mandated initially by GLBA and reinforced by various subsequent laws and regulatory guidance. But it isn't enough just to have a plan, it needs to be functional and provide direction to ensure you can operate whether you are faced with a power outage, a gas leak, or loss of your facility. The focus is to be on the "continuity of service" to your customers and community and the protection of your personnel, not just getting your computer systems up and running.

The regulators are serious when it comes to you having a comprehensive plan and updated the FFIEC Business Continuity Plan Handbook in March 2008 to address the requirements. The new handbook places emphasis on performing a business impact analysis, risk assessment, and developing a testing policy.

In addition, you need to have a written pandemic plan, which addresses a wide-spread influenza outbreak and the potential impact on the delivery of critical services. Over the last several years there have been threats of a pandemic flu; however, today with the H1N1 strain of flu (Swine Flu), there is increased focus and importance on your pandemic planning preparedness. It isn't just a regulatory requirement, but a reality.

### Highlights

- Key elements of the BCP
  - Business impact analysis
  - Risk assessment
  - Testing policy
- Key elements of the Pandemic Plan
  - What is a pandemic
  - How you can prepare for a pandemic
  - Monitoring and testing of your plan
  - Regulator expectations
  - Lessons learned from the FBIIC and FSSCC Pandemic Flu Exercise of 2007

### Audience

Directors, Senior Management, Risk Managers, IT auditors, Compliance Officers, Operations staff and IT staff, or any one interested in or having the responsibility for continuity planning.

CE Applied: 2.5 hrs. CRCM/CFSSP w/the Institute of Certified Bankers

### Presenter

**Susan Orr, CISA, CISM, CRP**, is an industry expert with vast regulatory, risk management, and security knowledge. During her 14-years as bank examiner, Susan held numerous lead positions including Regional IT Examination Specialist, Special Assistant to the Regional Director, and Special Assistant to the Vice Chairman of the FDIC. Susan was lead instructor for the FDIC's technology school. She currently consults for security providers and performs IT security/regulatory reviews for financial institutions.

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#### Business Continuity Planning

July 21, 2009

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